

Revolving Door

Explanation of Non-State Employment Restrictions

Under the State Officials and Employees Ethics Act (5 ILCS 430/5-45) a State employee or former State employee (or his or her spouse or immediate family member living with such a person) is prohibited, under certain circumstances, from accepting an employment or compensation offer from persons or entities that have conducted business with the State within a one-year period immediately following the State employee's termination from State employment. These restrictions are commonly referred to as Revolving Door Restrictions and in most instances, apply to certain State employees (or their spouses or immediate family members) dependent upon their employment duties while they are employed by the State and for a period of one year after terminating their State employment. The restrictions are as follows:

Restrictions Related to Contract Decisions

If, within one year before terminating state employment, a state employee participated personally and substantially in the award of state contracts or the issuance of state contract change orders with a cumulative value of \$25,000 or more to a person, entity, its parent or subsidiary, that state employee (or the spouse or immediate family member living with such a person) may not knowingly accept employment or receive compensation or fees for services from that person, entity, or parent or subsidiary for one year after terminating state employment. 5 ILCS 430/5-45(a).

Restrictions Related to Regulatory or Licensing Decisions

If, within one year before terminating state employment, a state employee participated personally and substantially in making a regulatory or licensing decision that directly applied to a person, entity, its parent or subsidiary, that state employee (or the spouse or immediate family member living with such a person) may not knowingly accept employment or receive compensation or fees for services from that person, entity, or parent or subsidiary for one year after terminating state employment, 5 ILCS 430/5-45(b).

Some state employees and former employees are required by law to formally notify the Executive Inspector General before accepting any non-State employment offers. At the Office of the Treasurer, the employees in the following positions must go through the formal notification process with the Office of the Executive Inspector General for the Treasurer ("OEIG") **before** accepting non-State employment: *Chief Procurement Officer; Attorneys working in the Legal Division, including the General Counsel, Deputy General Counsels, Assistant General Counsels, or any other licensed attorney; and Managers or Directors of an individual unit under the jurisdiction of the Treasurer's Office. Other positions may be added by the OEIG due to their involvement in the award of State contracts or in regulatory or licensing decisions. 5 ILCS 430/5-45(d).* These identified individuals must comply with the requirements set out in Section 5-45(f) of the Ethics Act, if they leave their position in the Treasurer's Office to go to non-State employment. 5 ILCS 430/5-45(f).

Notification Forms

In those instances where a State employee or a former State employee is required to notify the OEIG of a non-State employment or compensation offer, the “Revolving Door Notification of Non-State Employment Offer” must be completed by the employee or former employee. You can contact the OEIG at (217) 557-1972 to obtain the form or download it on the OEIG’s website at [www.illinoistreasurer.gov/Executive Inspector General](http://www.illinoistreasurer.gov/Executive_Inspector_General).

All necessary forms, statements, and attachments must be hand-delivered, mailed, faxed or emailed to:

Office of the Executive Inspector General for the Treasurer
Attn: Revolving Door Determinations
400 W. Monroe, St., Suite 401
Springfield, IL 62704
Email Address: oeig@illinoistreasurer.gov
Fax: (217) 557-4052

The OEIG will determine whether a State employee or former State employee (or his or her spouse or immediate family member living with such a person) is prohibited from accepting a non-State employment offer under the restrictions of the Ethics Act within ten (10) calendar days from the receipt of all necessary information and documentation. An individual’s notification to the OEIG is not considered complete until all required information has been received by the OEIG. Failure to provide all required information may result in a determination that the individual is restricted from accepting the employment offer.